



Form PTO-1449 (modified)

INDEX of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.
2039.015700/SAW

Serial No.
10717.297

Applicant

Richard P. Schmidt/James A. Solis/Ta Yen Ching

Filing Date:

November 19, 2003

Group:

Unknown

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 2

Other Art

See Page 2

U.S. Patent Documents

Examiner: M. A. Dexton

Date Considered: 2005 May 23

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (modified)		Atty. Docket No. 2039.015700/SAW	Serial No. 10/717,297
List of Patents and Publications for Applicant's		Applicant Richard P. Schmidt/James A. Solis/Ta Yen Ching	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Filing Date: November 19, 2003	Group: Unknown
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 2	Other Art See Page 2	

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
MAT	B1	WO99/48963	09/30/1999	PCT		→	
MAT	B2	WO98/51758	11/19/1998	PCT		→	
MAT	B3	WO99/38914	08/05/1999	PCT		→	
MAT	B4	WO97/32722	09/12/1997	PCT		→	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MAT	C1	Ching et al., "Tasteless Oxygen Scavenging Polymers: A New Platform Technology for Food Packaging Based On Controlled Oxidation," <i>Oxygen Absorber ... 2001 and Beyond</i> , OSP Conference, pages 1-8 (Chicago, June 19-20, 2000)
MAT	C2	Ching et al., "Tasteless Oxygen Scavenging Polymers: A New Platform Technology for Food Packaging Based On Controlled Oxidation," <i>Oxygen Absorber ... 2001 and Beyond</i> , OSP Conference Slides (Chicago, June 19-20, 2000)

Examiner: M. S. ThextonDate Considered: 2015 MAY 20

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 5

Complete if Known

Application Number	10/717,297
Filing Date	November 19, 2003
First Named Inventor	Richard P. Schmidt
Art Unit	
Examiner Name	

Attorney Docket Number 210151US00

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MAT	AA	US- 3,454,510 A	07/08/1969	Newland	
MAT	AB	US- 3,497,571 A	02/24/1970	Tellier et al.	
	AC	US- 3,536,687 A	10/27/1970	Nordstrom	
MAT	AD	US- 4,134,927 A	01/16/1979	Tomoshige	
MAT	AE	US- 4,396,730 A	08/02/1983	Imahashi	
MAT	AF	US- 4,415,710 A	11/15/1983	Barnabeo et al.	
MAT	AG	US- 4,524,201 A	06/18/1985	Barnabeo et. al.	
MAT	AH	US- 4,775,593 A	10/04/1988	Heberger	
MAT	AI	US- 5,116,916 A	05/26/1992	Young	
	AJ	US- 5,211,875 A	05/18/1993	Speer et al.	
MAT	AK	US- 5,306,760 A	04/26/1994	Sullivan	
	AL	US- 5,346,644 A	09/13/1994	Speer et al.	
	AM	US- 5,425,896 A	06/20/1995	Speer et al.	
MAT	AN	US- 5,466,756 A	11/14/1995	Roach et al.	
	AO	US- 5,498,364 A	03/12/1996	Speer et al.	
	AP	US- 5,627,239 A	05/06/1997	Ching et al.	
	AQ	US- 5,641,825 A	06/24/1997	Bacska et al.	
	AR	US- 5,656,692 A	08/12/1997	Hayes	
	AS	US- 5,660,761 A	08/26/1997	Katsumoto et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
MAT	BA	JP 56136347	10/24/1981	Mitsui	Abstract	
MAT	BB	JP 62177067	08/03/1987	Shigeo		
MAT	BC	EP 0 059 883	09/15/1982	Kang et al.		
MAT	BD	EP 0 507 207	10/07/1992	Roberts et al.		
MAT	BE	EP 0 520 257	12/30/1992	Speer et al.		
MAT	BF	GB 1 232 194	05/19/1971	Kansai Paint Company		

Examiner Signature

M. A. Thexton

Date Considered

2005 MAY 23

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

Complete if Known

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

5

Application Number

10/717,297

Filing Date

November 19, 2003

First Named Inventor

Richard P. Schmidt

Art Unit

Examiner Name

Attorney Docket Number

210151US00

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	CHING et al., "Tasteless Oxygen Scavenging Polymer: A New Platform Technology for Food Packaging Based On Controlled Oxidation," Oxygen Absorber ... 2001 and Beyond, OSP Conference, pages 1-8 (Chicago, June 19-20, 2000)	
	GB	CHING et al., "Tasteless Oxygen Scavenging Polymer: A New Platform Technology for Food Packaging Based On Controlled Oxidation," Oxygen Absorber ... 2001 and Beyond, OSP Conference, Slides (Chicago, June 19-20, 2000)	
mat	CC	International Search Report, PCT/US01/02034, 06/05/2001, 4 pages	
mat	CD	Written Opinion, PCT/US01/02034, 12/10/2001, 5 pages	
mat	CE	International Preliminary Examination Report, PCT/US01/02034, 04/22/2002, 5 pages	
mat	CF	International Search Report, PCT/US01/28412, 03/18/2002, 5 pages	
mat	CG	Written Opinion, PCT/US01/28412, 05/27/2002, 2 pages	
mat	CH	Response to Written Opinion, PCT/US01/28412, 07/18/2002, 12 pages	
mat	CI	International Preliminary Examination Report, PCT/US01/28412, 11/27/2002, 15 Pages	
mat	CJ	International Search Report, PCT/US 01/31968, 01/31/2002, 6 pages	

Examiner Signature	M. S. Thexton	Date Considered	2005 11/14/20
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/717,297
Sheet 3 of 5		Filing Date	November 19, 2003
		First Named Inventor	Richard P. Schmidt
		Art Unit	
		Examiner Name	
		Attorney Docket Number	210151US00

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AT	US-	5,700,554 A	12/29/1997	Speer et al.	
AU	US-	5,730,616 A	04/07/1998	Ching et al.	
AV	US-	5,776,361 A	07/07/1998	Katsumoto et al.	
AW	US-	5,811,927 A	09/22/1998	Speer et al.	
MAT	AX	US- 5,837,158 A	11/17/1998	Shepodd et al.	
	AY	US- 5,859,145 A	01/12/1999	Ching et al.	
MAT	AZ	US- 5,955,524 A	09/21/1999	Azuma et al.	
MAT	DA	US- RE36,234 E	06/22/1999	Koskan et al.	
	DB	US- 6,057,013 A	05/02/2000	Ching et al.	
MAT	DC	US- 6,063,307 A	05/16/2000	Shepold et al.	
	DE	US- 6,313,193 B1	11/06/2001	Simendinger	
	DF	US- 6,437,086 B1	08/20/2002	Ching et al.	
	DG	US- 6,664,320 B1	12/16/2003	Cai et al.	
	DH	US- 5,399,289 A	03/21/1995	Speer et al.	
	DI	US- 5,981,676 A	11/09/1999	Gauthier et al.	
	DJ	US- 6,083,585 A	07/04/2000	Cahill et al.	
V	DK	US- 20020081358 A1	06/27/2002	Galland et al.	
MAT	DL	US- 20030153644 A1	08/14/2003	Yang et al.	
	DM	US-			

FOREIGN PATENT DOCUMENTS					
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MAT	BG	WO 96/40799	12/19/1996	Ching et al.	
	BH	WO 97/32722	09/12/1997	Ching et al.	
MAT	BI	WO 97/32925	09/12/1997	Bansleben et al.	
MAT	BJ	WO 98/06779	02/19/1998	Katsumoto et al.	
	BK	WO 98/51758	11/19/1998	Katsumoto et al.	
	BL	WO 99/38914	08/05/1999	Schmidt et al.	

Examiner Signature	<i>M. D. Rexton</i>	Date Considered	2005 May 20
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<i>(Use as many sheets as necessary)</i>		Filing Date	November 19, 2003
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		Examiner Name	
Sheet	4	of	5
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
MAT	CK	First Written Opinion, PCT/US 01/31968, 06/24/2002, 2 pages		
MAT	CL	Second Written Opinion, PCT/US 01/31968, 06/25/2002, 7 pages		
MAT	CM	Response to Written Opinions, PCT/US 01/31968, 09/03/2002, 2 pages		
MAT	CN	Third Written Opinion, PCT/US 01/31968, 10/02/2002, 6 pages		
MAT	CO	International Preliminary Examination Report, PCT/US 01/31968, 02/28/2003, 6 pages		
MAT	CP	International Search Report, PCT/US2004/032910, 02/08/2005, 3 pages		
MAT	CQ	Written Opinion, PCT/US2004/032910, 02/08/2005, 5 pages		
	CR			
	CS			
	CT			

Examiner Signature	M. A. Thexton	Date Considered	2005 MAY 20
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		Examiner Name	
Sheet	5	of	15
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	DN	US-			
	DO	US-			
	DP	US-			
	DQ	US-			
	DR	US-			
	DS	US-			
	DT	US-			
	DU	US-			
	DV	US-			
	DW	US-			
	DX	US-			
	DY	US-			
	DZ	US-			
		US-			

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Country Code ³ Number ⁴ Kind Code ⁵ (if known)					
	BM	WO 99/48963	09/30/1999	Ching et al.	T ⁶
MAT	BN	WO 00/11972	03/09/2000	Jerdee et al.	
MAT	BO	WO 03/053171	07/03/2003	Yang et al.	
MAT	BP	WO 95/02616	01/26/1995	Ching et al.	
	BQ				
	BR				

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